

## Tracheostomy in Covid-19 – what can we expect?

### *Tracheostomies in context*

In Tracheostomies are small tubes inserted into the neck acting as artificial airways for around 15,000 patients in England and Wales annually.[1-4] Patients are often complex, cross traditional speciality working boundaries and locations, and are dependent on competent, knowledgeable care to keep them safe. Around 2/3 of all new tracheostomies are performed in the critically ill, usually to facilitate prolonged ventilation (>2 weeks) or to allow patients to gradually 'wean' from invasive ventilation. The remaining 1/3 are undertaken by head and neck surgeons, predominantly for airway obstruction and cancer surgery. Around 5,000 patients with existing tracheostomies are admitted from the community back into hospitals each year.

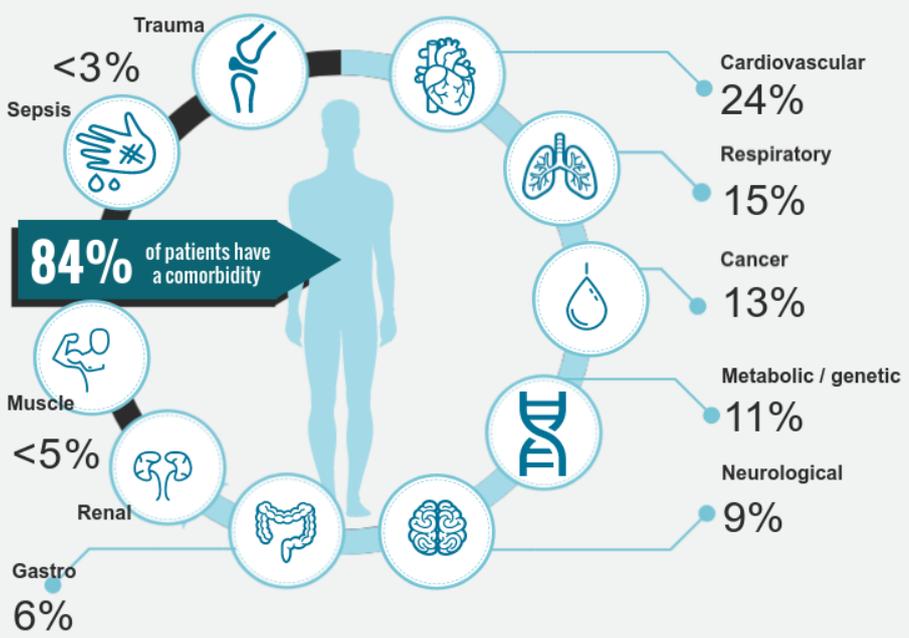
Landmark studies consistently highlight failings in care provision, with themes relating to inadequate staff training, equipment provision and infrastructure leading to avoidable patient harm, morbidity and mortality.[2, 5-7] Simply requiring a tracheostomy is associated with in-hospital mortality reported from 20-60%, usually due to underlying illness.[8,9] However, institutional harm also occurs, with up to 30% of tracheostomy patients experiencing an untoward incident. Measurable harm occurs in 60-70% of such incidents, ranging from hospital or Intensive Care Unit (ICU) (re)admission, prolonged in-patient stays, hypoxic brain injury and death.[6-7] Delays in care are common due to the complexities and variety of services accessed by tracheostomised patients.[10]

Many of these problems are amenable to prospective quality improvement (QI) strategies, leading to the development of groups such as the UK National Tracheostomy Safety Project (NTSP, [www.tracheostomy.org.uk](http://www.tracheostomy.org.uk)) and the Global Tracheostomy Collaborative (GTC, [www.globaltrach.org](http://www.globaltrach.org)) providing resources and strategies to improve care. The GTC brings together institutions, teams and individuals who have demonstrated approaches or interventions that can improve quality and/or safety.[11] Importantly, teams comprising of multidisciplinary members from diverse specialities are involved in tracheostomy care, including prominent and central roles for patients, families and/or carers.[12]

Patients managed in our hospitals with temporary or permanent tracheostomies on wards or in critical care units are exposed to a wide range of healthcare professionals and specialities, with the anaesthetist and critical care specialists often pivotal in their inpatient journey. Despite this, it is surprisingly difficult to find national data on the number of patients managed with tracheostomy. What detailed data there are suggests that 7-19% of all patients admitted to an Intensive Care Unit (ICU) will be managed with a tracheostomy, and that up to 90% of these ICU tracheostomies are currently performed by percutaneous routes.[15,16] This figure varies with the admission diagnosis, individual units, and to some extent, the country.[13,17-21]. Most non-neuro ICU's have a trachy rate around 10-13% in the UK. Neurosurgical centres typically have slightly higher rates, between 15-20%. The data from a recent UK study looking at the profile of 2,405 tracheostomy admissions is detailed overleaf. Considering all tracheostomy patients (new insertions and existing tracheostomies), patients typically spend 50 days in hospital, 28 days with a tracheostomy in situ and 23 days in an ICU. For new insertions, some of this ICU/hospital stay is before/after the tracheostomy is inserted.



# ASSOCIATED HEALTH PROBLEMS for new tracheostomy patients



**50 DAYS** Typically hospital stay when tracheostomy needed

**28 DAYS** with a tracheostomy in place



### Demographics at a glance

SOURCES  
Improving Tracheostomy Care UK Quality Improvement Project  
CREATED BY  
National Tracheostomy Safety Project. [www.tracheostomy.org](http://www.tracheostomy.org)



**Summary of reports of Covid-19 cases requiring prolonged invasive ventilation in ICU:  
Evidence scan as of 20/3/20.**

Detailed reports and case series are emerging from China and Europe which allow some cautious predictions of the potential tracheostomy burden for critical care services (and elsewhere). The prevalence of hypoxic respiratory failure in a cohort of 44,415 Chinese patients with confirmed COVID-19 was 19%, with 14% described as 'severe' (dyspnea, respiratory frequency  $\geq 30$ /min, blood oxygen saturation  $\leq 93\%$ , partial pressure of arterial oxygen to fraction of inspired oxygen ratio  $< 300$ , and/or lung infiltrates  $> 50\%$  within 24 to 48 hours) [22]. Some 5% of this cohort developed critical organ failure. Recent reports from China showed that 4% to 13% of COVID-19 patients in these studies received non-invasive positive pressure ventilation (NIPPV), and that 2.3% to 12% required invasive mechanical ventilation [22-27].

In critically ill patients, the case fatality rate is consistently reported around 50%; higher in those who received invasive mechanical ventilation. The presence of pre-existing comorbid conditions such as cardiovascular disease, diabetes, chronic respiratory disease, hypertension, and cancer were associated with higher risk of death [22].

Detailed data has emerged from Italy, describing 1,569 patients (from 1 to 17/3/20) admitted to critical care units in the North of the county, 94% of whom were intubated and ventilated. At the time of the report, 227 ( $\approx 14\%$ ), patients had survived and been discharged from ICU 256 ( $\approx 16\%$ ) had died, leaving 1,037 ( $\approx 66\%$ ) still receiving support. Median age of the current patients was 62 years, with 82% males. These patients all had significant hypoxia and high ventilatory requirements: median PEEP 14 (12-15),  $Fi O_2$  0.55 (0.45-0.70) [29]

Limited data from both China and Italy describe the prolonged intubation and ventilatory support that many of these patients require as they recover from pneumonia; often 15 to 20 days of mechanical ventilation, with several hours spent in the prone position and then, typically, a very slow weaning.[29] One Chinese study reported 42.5% mortality at 14 days post ICU admission, with the vast majority of survivors beyond 14 days still being ventilated.

The expected surge in intubated and ventilated patients as a result of Covid-19 will result in a significant increase in patients who require prolonged mechanical ventilation ( $> 14$  days), even allowing for the higher mortality rates than normal in this group. Patients requiring prolonged ventilation would ordinarily be candidates for a tracheostomy to facilitate weaning. However, a tracheostomy may not be in a patients' best interests if the prospects of long-term independent survival are limited. These decisions may become more focused in a resource-limited, overwhelmed system.

Tracheostomy may have some positive benefits in the Covid-19 pandemic, which may lead to earlier consideration than in normal practice:

- Tracheostomy offers a 'sealed' system for ongoing respiratory support which may be preferable to a primary extubation with a high chance of failure and/or the requirement for NIV/High Flow Oxygen therapy.

- Patients with tracheostomy are typically managed with reduced or no sedation. This may allow for:
  - Less intensive nursing care (the patient may be able to assist in moving, rolling).
  - Fewer pumps (advantageous if there is a shortage of drugs or devices).
  - Care may be overseen by non-ICU staff (who aren't as experienced in managing sedation perhaps).
  - However, a more awake patient can be more difficult to manage, and staff must be able to safely care for tracheostomised patients. (There may be a role for ORL/ENT/MaxFax staff here).

### What do hospitals need to do now?

Indications from European ICUs suggest that decision making around access to critical care and organ support is based largely on current practice; the expectation is that this stands for decisions to undertake tracheostomy. The major indication will remain to wean from ventilation when a primary extubation is not possible, has failed, or if primary extubation is considered high risk (for the patient and/or for staff). Tracheostomy insertion itself is associated with known risks to the patient and less well understood risks to staff.

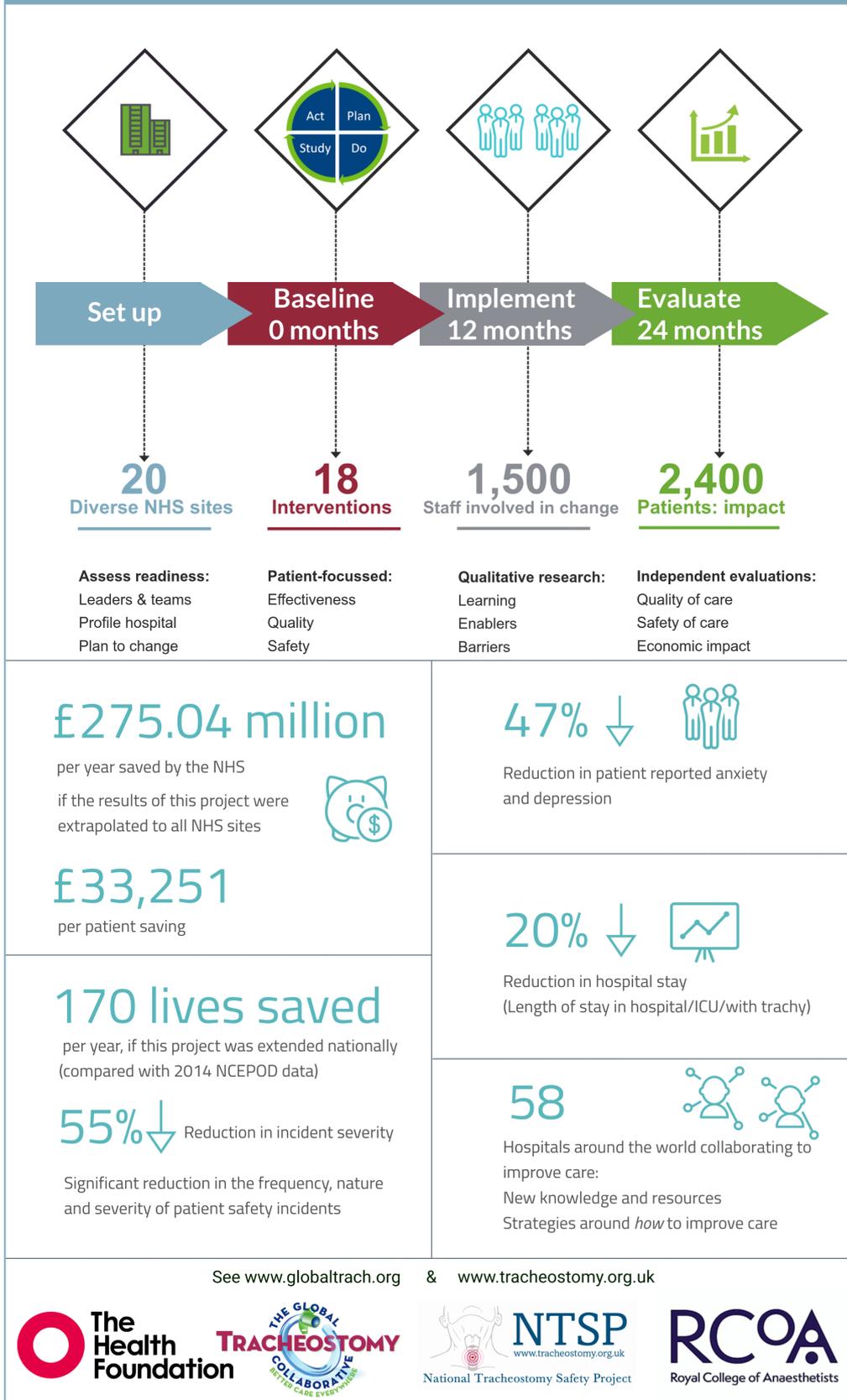
Hospitals need to prepare for a surge in the number of critically ill patients with tracheostomy, including patients who are recovering from critical illness who may still have a tracheostomy in situ. ICU beds are likely to be at a premium which may mean that tracheostomy patients are 'stepped down' into non-ICU locations. Similarly, 'critical care' locations may not be universally staffed by trained/regular ICU staff. Keeping patients safe requires that all locations in which patients with tracheostomies are cared for are appropriately staffed, equipped and supported.

The Tracheostomy National Patient Safety Improvement Program (NatPatSIP) builds on the successful implementation of a 20-site Quality Improvement (QI) program in the UK. Participating sites implemented 18 interventions designed to improve the quality, safety and organisational efficiency of tracheostomy care. The interventions came from established best practices from the exemplar hospitals comprising the Global Tracheostomy Collaborative (GTC). Patient level data was tracked using the GTC database with additional research exploring barriers and enablers to change and the perception of patients and their families around the care they received. The headline results are detailed overleaf.

Whilst the implementation and widespread adoption of quality improvement initiatives drove improvements in safety, during the Covid-19 crisis, this NatPatSIP will initially focus on key interventions to improve tracheostomy safety.



# Improving Tracheostomy Care



The detailed results of the *Improving Tracheostomy Care* program are currently *in press* with the *British Journal of Anaesthesia* and will be available shortly. Hospitals participating in the NatPatSIP should consider the following key interventions that improved care:

**Organisational** interventions included:

- Hospital-wide steering group
- Hospital-wide tracheostomy policy
- Designated cohort wards for tracheostomy patients
- Mandatory tracheostomy training for staff who will care for tracheostomy patients

**Safety** interventions included:

- Bedhead signs for patients (key details of the tracheostomy with emergency algorithm on the back)
- Standardised 'bedside' tracheostomy equipment
- Standardised tracheostomy care bundle

It is important to note that there are other elements to this program that complement the safety initiatives. Patients *expect* safe care but *want* high quality care. For patients with tracheostomies this means a focus on eating, drinking, talking and targeted efforts to promote less time on a ventilator, in ICU and in hospital.

Resources will be developed, supplied and signposted to that can help sites rapidly adopt these principles of safe practice. Access to the wider program will be supported and encouraged when operational pressures allow.

Hospitals are encouraged to join the Global Tracheostomy Collaborative ([www.globaltrach.org](http://www.globaltrach.org)). Membership provides access to a wide range of resources and peer support from international exemplar hospitals. The GTC also provides a patient-level database to track progress and to benchmark over time, and against other sites. Some data will be collected independently of the GTC as part of the NatPatSIP, and some data from existing ICU data collection systems can help to identify progress with tracheostomy care.

Much of the data to support this program are available from the Improving Tracheostomy Care section of the NTSP website ([www.tracheostomy.org.uk](http://www.tracheostomy.org.uk)).

The screenshot shows the website for the National Tracheostomy Safety Project. At the top, there is a navigation menu with links for 'About', 'Healthcare Staff', 'Patient & Family', 'Resources', 'E-Learning', 'News', and 'Contact'. Below the navigation is a search bar and a dropdown menu for 'Improving Tracheostomy Care' with the following options: 'Basic Care (Adults)', 'Basic Care (Child)', 'Emergency Care (Adults)', 'Emergency Care (Child)', 'Swallowing & Communication (Adults)', and 'Swallowing & Communication (Child)'. Below the menu is a 'Get Trach Ready' section with four featured articles, each with a small image and a brief description:

- Introduction**: What's the problem with Tracheostomies and Laryngectomies?
- Basic care, done well**: Helping to keep our patients safe
- Emergency care**: Guiding bedside staff in airway emergencies
- Improvements for patients**: Putting patients and their families at the heart of what we do

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